

電子證券交易服務申請表

**Electronic Securities Trading Service Application Form**

客戶資料 Customer Details		
中文名稱 Name in Chinese	英文名稱 Name in English	戶口號碼 Account Number

**申請電子證券交易服務 Application for Electronic Securities Trading Services**

本人 / 吾等即上述客戶，現擬於中財證券有限公司(「中財證券」)申請電子證券交易服務。如申請被接納，本人 / 吾等明白本人 / 吾等將受載於中財證券「證券交易帳戶的條款及條件」中的「電子交易服務系統條款及條件」約束。

I/We refer to above securities trading account maintained with Zhongcai Securities Limited (“ZSL”) and hereby request for Electronic Securities Trading Service. Upon your acceptance, I/we understand that I/we shall be bound by the “Terms and Conditions for Electronic Securities Trading Services” contained in the “Terms and Conditions for Electronic Securities Trading Accounts” of ZSL.

**風險披露 Risk Disclosure**

本人 / 吾等經已以本人 / 吾等選擇的語言，獲由\_\_\_\_\_ (中央編號：\_\_\_\_\_) (持牌代表) 全部清楚解釋電子交易證券買賣服務條款及條件及有關風險披露聲明的內容，並已獲邀請細閱該等條款及條件及風險披露聲明、提出問題及徵求獨立的意見 (如有此意願)。

I/We confirm that the contents of the Terms and Conditions for Electronic Securities Trading Services and the Risk Disclosure Statements thereof have been fully explained by \_\_\_\_\_ (CE No. : \_\_\_\_\_) (Licensed Representative) in a language which I/we choose and I/we also have been invited to read the Terms and Conditions and the Risk Disclosure Statements thereof, to ask questions and take independent advice if I/we wish.

倘以上的中文與英文本有任何不一致之處，必須以英文本為準。

In the event of any inconsistency between the Chinese and English versions, the English version shall prevail.



\_\_\_\_\_  
客戶簽署 / 授權人簽名  
Client Signature / Authorised Signature (With Company Chop)

\_\_\_\_\_  
日期  
Date

**持牌代表聲明 Declaration by the Licensed Representative**

本人\_\_\_\_\_，按證券及期貨條例註冊之中央編號為\_\_\_\_\_ (持牌代表)，特此聲明經已以客戶選擇的語言，向\_\_\_\_\_ (客戶姓名) 全部清楚解釋電子證券交易服務條款及條件，並已邀請客戶閱讀該等條款及條件及風險披露聲明、提出問題及徵求獨立的意見 (如有此意願)。

I, \_\_\_\_\_, registered under the Securities & Futures Ordinance with CE No.: \_\_\_\_\_ (Licensed Representative), have fully explained the Terms and Conditions for Electronic Trading Services and the Risk Disclosure Statements thereof to \_\_\_\_\_ (Name of Client) in a language which the Client choose(s) and also have invited the Client to read the Terms and Conditions and the Risk Disclosure Statements thereof, to ask questions and take independent advice if the Client wishes.

倘以上的中文與英文本有任何不一致之處，必須以英文本為準。

In the event of any inconsistency between the Chinese and English versions, the English version shall prevail.

\_\_\_\_\_  
持牌代表簽署 Signed by Licensed Representative

\_\_\_\_\_  
日期 Date

**本公司專用 FOR OFFICE USE ONLY**

由客戶主任填寫 Filled by A.E.:

客戶主任姓名  
Name of A.E.: \_\_\_\_\_

客戶主任編號  
A.E. Code : \_\_\_\_\_

**批准 Approved by :**

批准人姓名  
Approved By : \_\_\_\_\_

批准人簽署  
Approved Signature : \_\_\_\_\_

日期  
Date : \_\_\_\_\_