



中財證券
ZHONGCAI SECURITIES

Settlement Instruction (SI) / Investor Settlement Instruction (ISI) Form
交收指示 / 投資者交收指示表格

To: Zhongcai Securities Limited 中財證券有限公司
6/F, Zhongcai Centre, 131 Queen's Road Central, Central, HK 香港中環皇后大道中 131 號中財中心 6 樓
Tel.電話: (852) 2703 7408 Fax 傳真: (852) 2703 7938

Client Name 客戶名稱 : _____ Account Number 帳戶號碼 : _____

I/We hereby instruct your Company to input the following SI/ISI into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows:
本人/吾等現指示貴公司將下列交收指示輸入中央結算及交收系統(“中央結算”)以便進行交收;

- RECEIVE** securities/derivatives as listed below from the following counterparty / my/our CCASS investor account and deposit into my/our securities account with your Company.
從以下交收對手/本人/吾等之中央結算投資者戶口接收下列股票/衍生產品並存入本人/吾等在貴公司之證券帳戶。 **OR 或**
- DELIVER** securities/derivatives as listed below from my/our securities account with your Company to the following counterparty/ my/our CCASS investor account.
由本人/吾等在貴公司之證券帳戶提取下列股票並傳送到以下交收對手/本人/吾等之中央結算投資者戶口。

Name of Counterparty/Investor 交收對手/投資者名稱 : _____ Settlement Date 交收日期 : _____

Counterparty/ CCASS Investor No. 交收對手/中央結算投資者號碼 : _____ Contact Person 聯絡人姓名 : _____ Tel. 電話 : _____

Stock Code 股票代號	Stock Name 股票名稱	Total No. of Stocks/Units 股票/單位總數

Payment instruction : **FOP** (Free of Payment) 毋須付款
付款指示 **DVP** (Delivery Versus Payment) 貨款對付交收
Settlement Amount (HKD) 款項數額(港幣) : _____

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI. I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction. 本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示/投資者交收指示而出現變動。本人/吾等願意賠償貴公司因執行本人/吾等指示所引致之任何損失。

Client's Signature 客戶簽署 _____

Date 日期: _____

FOR OFFICIAL USE 中財證券專用

	Handled by	Specimen Checked	Data Checked	Checked by Accounts Dept.	Inputted by	Approved by
Initial						
Date						

Note 註: a. Please tick where applicable. 請在適用的地方加上剔號。
b. Please return the original of this form for our record. 請將此表格正本交回本公司以作記錄。